

# Thermochemical Instabilities in Optically Thin Reacting Plasmas

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February 1, 2008

## Abstract

The linear stability analysis of an optically thin plasma where a general reaction proceeds, including chemical relaxation time effects, is carried out. A fifth order dispersion equation (instead of the fourth order one resulting when such effects are neglected) is obtained. The new mode with the corresponding instability criterion as well as the modifications of the previous four modes and the corresponding instability criteria, are analyzed. Generally, a further stabilizing effect on the unstable modes and an increasing of the damping of stable modes appear because of the second viscosity generated by the chemical reaction. The results are applied to: (1) a collisionally ionized pure hydrogen plasma heated at a constant rate per unit mass and cooled by free-free transitions, ionization, and  $e - H$  collisional excitations; (2) a diffused gas with metallicity  $Z$ , photoionized and heated by a radiation field, and cooled by excitation of hydrogen and heavy metal lines.

## 1 Introduction

It has long been recognized that the knowledge of the thermochemical equilibrium and stability of plasmas is a crucial point for understanding the origin of astrophysical structures at very different scales ( Field 1965 ). In

fact, the onset of thermal ( or more generally thermochemical ) instabilities can directly induce the formation of inhomogeneities or can set in physical conditions appropriated for developing other kind of instabilities, say for instance, the gravitational one. As a direct agent in forming condensations it has been considered in studies of the solar atmosphere (Priest 1987; Reale, Serio & Peres 1994 ), the interstellar medium (Field Goldsmith, Habing & Field 1969; Hunter 1970; McKee & Ostriker 1977; Flannery and Press 1979; Cowie, McKee & Ostriker 1981;), gas at high latitude and in outer disks of galaxies ( Verschuur & Magnani 1994, Ferrara & Field 1994 ), Ly $\alpha$  clouds (Sargent et al. 1980; Black 1981; Bond, Szalay & Silk 1988; Baron et al. 1989; Murakami & Ikeuchi 1990; Pettini et al. 1990; Duncan, Vishniac & Ostriker 1991); quasars gas ( Krolik, McKee & Tarter 1981; Mathews 1986; Mathews and Doane 1990; Goncalves, Jatenco-Pereira & Opher 1993 ), and as an indirect promoter of the formation of stars ( Glassgold and Lager 1976; Oppenheimer 1977; Sabano and Kannari 1978; Ibañez 1981 ), globular clusters ( Fall and Rees 1985; Murray & Lin 1990a,b ) and galaxies ( Sunyaev and Zel'dovich 1972; Gurevich & Chernin 1975; Zel'dovich & Novikov 1983 ).

On the other hand, the generalization of Field's (1965) basic study on thermal instability for including effects of chemical reactions has been carried out by Goldsmith (1970), Defouw (1970), Yoneyama (1973), Flannery and Press 1979; Ibañez & Parravano (1983); Ibañez & Mendoza (1990), and Corbelli and Ferrara (1995). However, in these works it has not been taken into account the fact that when the temperature, density and the other thermodynamical quantities change, the position of the chemical equilibrium also varies, i.e. in a reacting gas the fluctuations occur on states out of thermodynamic equilibrium because, generally, the chemical relaxation time is not short enough to follow the change of pressure and density. So, if the restoring of the chemical equilibrium after an initial compression, for instance, occurs relatively slowly, it can not follow the compression. But the irreversible tendency of the concentrations to reach the equilibrium values corresponding to the new value of pressure and density produces an increase of entropy with the corresponding energy dissipation. ( Landau and Lifshitz 1987). The present work is aimed at considering such effects in a general reacting gas. Additionally, two particular applications will be carried out: (1) to the pure hydrogen plasma model worked out by Ibañez & Parravano (1983), and (2) to the photoionized plasma with metallicity  $Z$  studied by Corbelli and Ferrara 1995 , hereinafter references IP and CF, respectively.

## 2 Basic Equations

For a fluid where a chemical reaction of the form  $\sum \nu_i C_i = 0$  is proceeding, the equations of gas dynamics can be written in the form

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0 , \quad (1)$$

$$\rho \frac{d\mathbf{v}}{dt} + \nabla p = 0 , \quad (2)$$

$$\frac{d\xi}{dt} + X(\rho, T, \xi) = 0 , \quad (3)$$

$$AR \frac{dT}{dt} - \frac{p}{\rho^2} \frac{d\rho}{dt} + BRT \frac{d\xi}{dt} + \mathcal{L}(\rho, T, \xi) - \frac{1}{\rho} \nabla \cdot (\kappa \nabla \mathbf{T}) = \mathbf{0} , \quad (4)$$

$$p(\rho, T, \xi) = R \frac{\rho T}{\mu(\xi)} , \quad (5)$$

where  $\frac{d}{dt}$  is the convective derivative and the remaining symbols are defined in Table 1.

For reacting fluids is useful to rewrite equation (3) in the form

$$\frac{d\xi}{dt} = \frac{\phi(\rho, T, \xi)}{\tau(\rho, T, \xi)} , \quad (6)$$

where

$$\tau(\rho, T, \xi) = \left[ \left( \frac{\partial X}{\partial \xi} \right)_{\rho, T} \right]^{-1} = \frac{1}{X_\xi} , \quad (7)$$

and

$$\phi(\rho, T, \xi) = \frac{X(\rho, T, \xi)}{\left( \frac{\partial X}{\partial \xi} \right)_{\rho, T}} , \quad (8)$$

see for instance Landau and Lifshitz (1987), Zel'dovich and Raizer (1966), Vicenti and Kruger (1975). When the reacting fluid is chemically stable  $X_\xi > 0$ ,  $\tau$  becomes the chemical relaxation time, otherwise,  $\tau$  is the *e-folding* time for chemical instability.

The chemical equilibrium is defined by the condition

$$\phi(\rho, T, \xi) = 0 , \quad (9)$$

therefore, the chemical equilibrium value of  $\xi$  ( denoted by  $\xi_*$  ) becomes a function of the density and the temperature which generally are functions of time, i.e.  $\xi_* = \xi_*[\rho(t), T(t)]$ .

On the other hand, and as it is well known, in an hypothetical infinite medium, equations (1)-(5) admit a steady state (uniform ) solution corresponding to a complete thermodynamical equilibrium, i.e.  $\mathbf{v} = 0$ , and  $\rho$  and  $T$  satisfying the equation

$$\mathcal{L}(\rho, T, \xi) = 0 . \quad (10)$$

Equation (10) defines the thermal equilibrium.

The stability of the above equilibria is usually analyzed ( in the linear approximation ) looking for solutions of equations (1)-(5) in the form of plane waves, superimposed to the equilibrium values i.e.

$$\delta\psi = \psi_0 + \psi'(t) \exp(i\mathbf{k} \cdot \mathbf{r}) , \quad (11)$$

where  $\psi_0$  is the  $\psi$  value at equilibrium and  $\psi'(t)$  is the respective time dependence of the disturbance on the variable  $\psi$ . Substituting equation (11) in equations (1)-(5) and neglecting nonlinear terms in  $\psi'$  one obtains the set of linearized equations

$$\frac{\partial \rho'}{\partial t} + i\rho_0 \mathbf{k} \cdot \mathbf{v}' = 0, \quad (12)$$

$$\rho_0 \frac{\partial \mathbf{v}'}{\partial t} + i\mathbf{k} p' = 0 , \quad (13)$$

$$\tau \frac{\partial \xi'}{\partial t} + \xi' = \xi_{*\rho} \rho' + \xi_{*T} T' , \quad (14)$$

$$-\frac{p_0}{\rho_0^2} \frac{\partial \rho'}{\partial t} + AR \frac{\partial T'}{\partial t} + BRT_0 \frac{\partial \xi'}{\partial t} + \mathcal{L}_\rho \rho' + \mathcal{L}_T T' + \mathcal{L}_\xi \xi' + \frac{\kappa_0 k^2}{\rho_0} T' = 0 , \quad (15)$$

$$p' = p_\rho \rho' + p_T T' + p_\xi \xi' . \quad (16)$$

Hereinafter, the subindex  $0$  denoting equilibrium values will be dropped.

The linearized equation (14) takes into account the fact that when  $\rho$  and  $T$  change, the position of the equilibrium  $\xi_*$  also varies ( Landau and Lifshitz 1987; Zel'dovich and Raizer 1966 ). For a detailed analysis of this basic aspect of the problem, see Vincenti and Kruger (1975).

As usually, one may proceed further looking for solutions of the dynamical variables  $\mathbf{v}'(t)$ ,  $\rho'(t)$  and  $T'(t) \sim \exp(\mathcal{N}t)$ . However, from equation (14)

follows that a solution for  $\xi' \sim \exp(\mathcal{N}t)$  exists if and only if the amplitude of the disturbances  $\xi_1$ ,  $\rho_1$  and  $T_1$  are related by the equation

$$\xi_1 = \frac{\xi_{*\rho}}{\mathcal{N}\tau + 1} \rho_1 + \frac{\xi_{*T}}{\mathcal{N}\tau + 1} T_1 , \quad (17)$$

where  $\rho_1$  and  $T_1$  are the amplitude of the density and temperature fluctuation, respectively. Therefore, equations (12)-(16) are reduced to two algebraic equations for  $\rho_1$  and  $T_1$ , i. e.

$$[(\mathcal{N}\tau + 1)(\mathcal{N}^2 + p_\rho k^2) + \xi_{*\rho} p_\xi k^2] \rho_1 + [(\mathcal{N}\tau + 1)p_T k^2 + \xi_{*T} p_\xi k^2] T_1 = 0 , \quad (18)$$

$$[(\mathcal{N}\tau + 1)(-\frac{p}{\rho^2} \mathcal{N} + \mathcal{L}_\rho) + \xi_{*\rho} (BRT\mathcal{N} + \mathcal{L}_\xi)] \rho_1 + [(\mathcal{N}\tau + 1)(ARN + \mathcal{L}_T + \frac{\kappa k^2}{\rho}) +$$

$$\xi_{*T} (BRT\mathcal{N} + \mathcal{L}_\xi)] T_1 = 0 , \quad (19)$$

which have non trivial solution provided that the compatibility condition

$$a_0 N^5 + a_1 N^4 + a_2 N^3 + a_3 N^2 + a_4 N + a_5 = 0 , \quad (20)$$

holds, where

$$\begin{aligned} a_0 &= \tau^2 , \quad a_1 = \tau(2 + \frac{B}{A} T \xi_{*T}) + \tau^2 c k_1 , \\ a_2 &= (1 + \frac{B}{A} \xi_{*T} T) + \tau c(2k_1 + k_3) + \tau^2 c^2 k^2 , \\ a_3 &= c(k_1 + k_3) + \tau \frac{\Gamma_1 c^2}{\tilde{\gamma}} k^2 + \tau^2 \frac{c^3}{\tilde{\gamma}} (k_1 - k_2) k^2 , \\ a_4 &= \frac{\Gamma_2 c^2}{\tilde{\gamma}} k^2 + \tau \frac{c^3}{\tilde{\gamma}} [(2 + \xi_{*\rho} \rho \mu \nu) k_1 - (2 + \xi_{*T} T \mu \nu) k_2 + (1 - \frac{\rho \xi_{*\rho}}{T \xi_{*T}}) k_3] k^2 , \\ a_5 &= \frac{c^3}{\tilde{\gamma}} [(1 + \xi_{*\rho} \rho \mu \nu) k_1 - (1 + \xi_{*T} T \mu \nu) k_2 + (1 - \frac{\rho \xi_{*\rho}}{T \xi_{*T}}) k_3] k^2 . \end{aligned} \quad (21)$$

In previous works ( Goldsmith 1970; Defouw 1970; Yoneyama 1970; Flannery and Press 1979, IP and CF ) where the change in the chemical equilibrium position was not taken into account, the characteristic equation found was a fourth order , instead of a fifth order polynomial, equation (20). Note

that the way of considering the disturbance in the chemical parameter  $\xi$  in eqs.(14) and (17) is different from that of the above papers. In fact, in those papers the hypothetical initial "equilibrium state" is considered *frozen*, as that in absence of relaxing processes and the chemical fluctuation is assumed to follow that of pressure, density and velocity. However, this is only an acceptable first approximation. In deed, once the initial equilibrium is disturbed, an irreversible process set in and the chemical evolution tends to a *new equilibrium* corresponding to the *new values* of pressure and density. Therefore, it is not matter how tiny this effect is, it changes qualitatively the problem. The effect of finite value of the relaxation time is equivalent to think on a *second viscosity* (Landau & Lifshitz 1987) and this is the last physical reason by which fluctuations with large wave numbers can be damped in a fluid where no other irreversible process, except the chemical reaction, goes into play. This aspect of the problem will be again considered later on.

### 3 Instability Criteria

#### 3.1 Asymptotic Cases

Equation (17) gives the correct amplitude for the chemical parameter in both asymptotic limits: no reacting gases, i.e. when  $\tau \rightarrow \infty$ ,  $\xi_1 \rightarrow 0$ , and in *equilibrium flow* for which  $\tau \rightarrow 0$  and  $\xi_1 \rightarrow \xi_{*\rho}\rho_1 + \xi_{*T}T_1$ , i.e. when the chemical reaction is so fast that it instantaneously adjusts to the chemical equilibrium values and therefore, the changes of density and temperature occur in chemical equilibrium. Note that in the equilibrium flow limit,  $\phi = 0$ ,  $\tau = 0$  but  $(d\xi/dt)_{eq.} \neq 0$ , contrary to the simple *equilibrium* (as in a closed system with fixed conditions) for which  $\phi = 0$  and  $(d\xi/dt)_{eq.} = 0$ , case considered in the mentioned references at the end of the previous Section. In practice however, and from the physical point of view, the above asymptotic limits correspond, respectively, to the case when the chemical time scale is much longer, ( $|N\tau| \gg 1$ ) and much more shorter ( $|N\tau| \ll 1$ ), than the characteristic time of the density and temperature fluctuation.

On the other hand, as it can be readily verified, when  $\tau \rightarrow \infty$ , the dispersion relation (20) reduces to the corresponding Field (1965) equation, and when  $\tau \rightarrow 0$ , equation (20) reduces to

$$a_2\mathcal{N}^3 + a_3\mathcal{N}^2 + a_4\mathcal{N} + a_5 = 0 , \quad (22)$$

where  $a_2$

$$a_2 = 1 + \frac{B}{A} T \xi_{*T} ; \quad a_3 = c(k_1 + k_3) ; \quad a_4 = \frac{\Gamma_2 c^2}{\tilde{\gamma}} k^2 , \quad (23)$$

and  $a_5$  stands as in equation (21).

By applying the Hurwitz criteria to the equation (22) one obtains that an instability sets in when any of the following relations is fulfilled,

$$\mathcal{L}_T + \xi_{*T} \mathcal{L}_\xi + \frac{\kappa k^2}{\rho} \leq 0 , \quad (24)$$

$$(1 + T \mu \nu \xi_{*T}) [(A + B T \xi_{*T}) \mathcal{L}_\rho + \frac{T}{\mu \rho} (1 - B \rho \mu \xi_{*\rho}) \mathcal{L}_T + (A \xi_{*\rho} + \frac{T}{\mu \rho} \xi_{*T}) \mathcal{L}_\xi + \frac{T}{\mu} (1 - B \rho \mu \xi_{*\rho}) \frac{\kappa k^2}{\rho^2}] \leq 0 , \quad (25)$$

$$(1 + \xi_{*\rho} \rho \mu \nu) (T \mathcal{L}_T + T \xi_{*T} \mathcal{L}_\xi) - (1 + \xi_{*T} T \mu \nu) (\rho \mathcal{L}_\rho + \rho \xi_{*\rho} \mathcal{L}_\xi) + (1 + \xi_{*\rho} \rho \mu \nu) \frac{T \kappa k^2}{\rho} \leq 0 . \quad (26)$$

Note that in absence of thermal conduction the above criteria become independent of the wave number  $k$ .

Criterion (24) is Parker's ( 1953) isochoric criterion of thermal instability but modified by the presence of the chemical reaction, i.e. the change with time of temperature occurs in chemical equilibrium.

Criterion (25) can be identified as Field's (1965) isentropic criterion for thermal instability also modified by the chemical reaction. In fact, as can be readily verified, if the equilibrium value  $\xi_*(\rho, T)$  is weakly dependent on density and temperature, the relation (25) reduces to the corresponding Field's isentropic criterion. In the opposite case when the dependence of the heating/cooling function on  $\rho$  and  $T$  is very weak (  $\mathcal{L}_\rho \sim \mathcal{L}_T \sim 0$  ), the criterion stands barely due to internal processes ( the chemical reaction ), i.e.

$$(1 + T \mu \nu \xi_{*T}) [(A \xi_{*\rho} + \frac{T}{\mu \rho} \xi_{*T}) \mathcal{L}_\xi + \frac{T}{\mu} (1 - B \rho \mu \xi_{*\rho}) \frac{\kappa k^2}{\rho^2}] \leq 0 . \quad (27)$$

If  $(1 + T \mu \nu \xi_{*T}) > 0$ , the criterion simplifies further, that is, isentropic instability sets in when the expression in square brackets in relation (27) is less than zero. If the above occurs when  $(A \xi_{*\rho} + \frac{T}{\mu \rho} \xi_{*T}) \mathcal{L}_\xi < 0$  and  $(1 - B \rho \mu \xi_{*\rho}) > 0$ , sound waves with wave number smaller than the critical number  $k_c$  defined by the equality in (27) are amplified and those larger ones are damped, but if  $(A \xi_{*\rho} + \frac{T}{\mu \rho} \xi_{*T}) \mathcal{L}_\xi < 0$  and  $(1 - B \rho \mu \xi_{*\rho}) < 0$ , sound waves are amplified

regardless of the wave length. On the contrary, if the expression in square brackets in (27) is positive, the sounds waves are damped.

Relations (26) can be identified as Field's (1965) isobaric criteria for thermal instability, modified by the chemical reaction. As in the previous case, the respective thermal instability would proceed in chemical equilibrium. Generally, from the above modified criterion it follows that very fast chemical reactions may help to develop or may quench the respective isobaric thermal instability depending on the signs of  $\xi_{*T}\mathcal{L}_\xi$  and  $\xi_{*\rho}\mathcal{L}_\xi$  terms for the particular reaction under consideration. In particular, if  $(1/\mu + \xi_{*\rho}\rho\nu) > 0$ , this criterion can be written as

$$(T\mathcal{L}_T - \beta\rho\mathcal{L}_\rho) + (T\xi_{*T} - \beta\rho\xi_{*\rho})\mathcal{L}_\xi + \frac{T\kappa k^2}{\rho} \leq 0, \quad (28)$$

where

$$\beta = \frac{1/\mu + \xi_{*T}\rho\nu}{1/\mu + \xi_{*\rho}\rho\nu}. \quad (29)$$

Note that if the chemical parameter in chemical equilibrium  $\xi_*$  weakly depends on density and temperature, i.e.  $\xi_{*T} \sim \xi_{*\rho} \sim 0$ , the above criterion reduces to the isobaric Field's criterion for nonreacting gases. However, the above generalized criterion, relation (28), provides further information. In effect, in the opposite case, that is, when the heating/cooling function  $\mathcal{L}$  weakly depends on  $\rho$  and  $T$ ,  $\mathcal{L}_T \sim \mathcal{L}_\rho \sim 0$ , criterion (28) simplifies to

$$(T\xi_{*T} - \beta\rho\xi_{*\rho})\mathcal{L}_\xi + \frac{T\kappa k^2}{\rho} \leq 0, \quad (30)$$

which can be identified as an isobaric instability criterion due only to the chemical reaction .

Other important conclusion drawn from the instability criteria (24)-(26) is concerned with the critical wave numbers  $k_c$  defined by the respective equality which corresponds to the marginal states. It is obvious that very fast chemical reactions may produce drastic changes in the critical scale lengths at which any thermal instability may occur. In particular, if  $\mathcal{L}_T < 0$ , and  $\xi_{*T}\mathcal{L}_\xi > 0$  but this last term is not large enough for quenching the isochoric instability, the critical wave number  $\lambda_c$  ( $= 2\pi/k_c$ ) can be considerable increased respect to the critical wave number in a non-reacting gas. Similar considerations can be made for the remaining two critical wave numbers, the isentropic and the isobaric ones, as it will be seen bellow where particular applications will be considered.

On the other hand, for fluctuations with wave number  $k = 0$ , equation (20) becomes

$$N^2(a_0N^3 + a_1N^2 + a_2N + a_3) = 0 , \quad (31)$$

where  $a_0$  and  $a_1$  stand as in equation (21), but  $a_2$  and  $a_3$  reduce to

$$a_2 = (1 + \frac{B}{A}\xi_{*T}T) + \tau c(2k'_1 + k_3) ; \quad a_3 = c(k'_1 + k_3) . \quad (32)$$

If in addition  $\tau = 0$ , the dispersion relation (31) further reduces to

$$N^2(a_2N + a_3) = 0 , \quad (33)$$

i.e. there is only one (thermoreactive) mode with rate  $N = -c(k'_1 + k_3)/(1 + B\xi_{*T}T/A)$ , which becomes unstable if  $k'_1 + k_3 < 0$ , provided that  $1 + B\xi_{*T}T/A > 0$ .

For the opposite asymptotic case, i.e. when  $k \rightarrow \infty$ , the dispersion equation (20) simplifies to

$$\mathcal{N}^2 + \frac{1}{\tau}(2 + \xi_{*\rho}\rho\mu\nu)\mathcal{N} + \frac{1}{\tau^2}(1 + \xi_{*\rho}\rho\mu\nu) = 0 . \quad (34)$$

Therefore, there are two modes with rates  $\mathcal{N} = -1/\tau$  and  $\mathcal{N} = -(1 + \xi_{*\rho}\rho\mu\nu)/\tau$ , respectively. Obviously, for chemically stable reactions the last mode can be unstable provided that  $(1 + \xi_{*\rho}\rho\mu\nu) < 0$ , otherwise any fluctuation with very short wave length is damped in a chemical time scale.

### 3.2 General Case

In the general case, when the time scale  $\tau$  is  $\neq 0$ , case usually found in practical problems, applying the Hurwitz criteria to the fifth order polynomial (20), five instability criteria are found. Explicitly, first instability criterion,  $a_1 \leq 0$ , (for  $\tau \neq 0$ ) becomes

$$[\mathcal{L}_T \pm \frac{R(2A + \xi_{*T}BT)}{|\tau|}] + \frac{\kappa k^2}{\rho} \leq 0 , \quad (35)$$

with the sign + for chemical stable and the sign - for chemically unstable reacting fluids. The above criterion reduces to the isochoric criterion for nonreacting fluids when  $|\tau| \rightarrow \infty$ . On the other hand, for fluctuations with  $k = 0$ , the instability criterion reduces to the condition  $[\mathcal{L}_T \pm R(2A +$

$\xi_{*T}BT)/|\tau|] \leq 0$ , i.e. for a thermally unstable fluid ( $\mathcal{L}_T < 0$ ) the stabilizing effect of the chemical reaction increases when the chemical time scale  $|\tau|$  decreases, provided that  $2A + B\xi_{*T}T > 0$ .

Fifth instability criterion is just  $a_5 \leq 0$ , and coincides with the isobaric modified criterion (28). Therefore, the above criterion is independent of the chemical time scale  $\tau$  and it stands as far as  $\tau \neq \infty$ , i.e. when chemical reactions proceed in the fluid under consideration.

Second, third and fourth instability criteria become, respectively,

$$\Delta_2 = a_1a_2 - a_0a_3 \leq 0, \quad (36)$$

$$\Delta_3 = \Delta_2a_3 + (a_0a_5 - a_1a_4)a_1 \leq 0, \quad (37)$$

$$\Delta_4 = \Delta_3a_4 + [-\Delta_2a_2 + a_0(a_1a_4 - a_0a_5)]a_5 \leq 0. \quad (38)$$

The relation (36) can be explicitly written as

$$\begin{aligned} & \frac{1}{\tau} \left\{ (2 + \frac{B}{A}T\xi_{*T})[c^2k^2 + \frac{1}{\tau^2}(1 + \frac{B}{A}T\xi_{*T})] - \frac{\Gamma_1c^2k^2}{\tilde{\gamma}} \right\} \\ & + \left\{ c^3(1 - \frac{1}{\tilde{\gamma}})k^2 + \frac{c}{\tau^2}[\frac{B}{A}T\xi_{*T} + 2(2 + \frac{B}{A}T\xi_{*T})] \right\} k_1 \\ & + \frac{2}{\tau}c^2k_1^2 + \frac{c^2}{\tau}k_1k_3 + \frac{c^3k^2}{\tilde{\gamma}}k_2 + \frac{c}{\tau^2}(1 + \frac{B}{A}T\xi_{*T})k_3 \leq 0, \end{aligned} \quad (39)$$

which reduces to the isentropic Field's criterion when  $\tau \rightarrow \infty$ . Therefore, it can be identified as a generalized isentropic criterion for thermochemical instability. For fluctuations with  $k = 0$ , but values of  $\tau \neq 0$ , the above criterion simplifies to

$$\frac{1}{\tau}(2 + \frac{B}{A}T\xi_{*T})(1 + \frac{B}{A}T\xi_{*T}) + (4 + 3\frac{B}{A}T\xi_{*T} + 2\tau ck_1)ck_1 + (1 + \frac{B}{A}T\xi_{*T} + \tau ck_1)ck_3 \leq 0, \quad (40)$$

which in the asymptotic case when  $\mathcal{L}_T \approx 0$  becomes

$$(1 + \frac{B}{A}T\xi_{*T})[ck_3 + \frac{1}{\tau}(2 + \frac{B}{A}T\xi_{*T})] \leq 0, \quad (41)$$

or simply

$$\xi_{*T}\mathcal{L}_\xi \pm \frac{R}{|\tau|}(2A + BT\xi_{*T}) \leq 0, \quad (42)$$

if  $(1 + BT\xi_{*T}/A) > 0$ , where + and - signs corresponds to chemically stable and instable reactions, respectively.

Explicit relations for the criteria (37) and (38) are rather involved and generally, one must proceed numerically in specific applications. However, in the asymptotic case for  $k = 0$ , criterion (37) reduces to

$$\begin{aligned} & \left( 2k_1^2 + 3k_1k_3 + k_3^2 \right) c^3 k_1 \tau^3 + \\ & \left( 5k_1 k_3 + \frac{4BT\xi_{*T} k_1 k_3}{A} + k_3^2 + 4k_1^2 + \frac{BT\xi_{*T} k_3^2}{A} + \frac{3BT\xi_{*T} k_1^2}{A} \right) c^2 \tau^2 \\ & \left( 2k_1 + \frac{3BT\xi_{*T} k_1}{A} + \frac{3BT\xi_{*T} k_3}{A} + 2k_3 + \frac{B^2 T^2 \xi_{*T}^2 k_1}{A^2} + \frac{B^2 T^2 \xi_{*T}^2 k_3}{A^2} \right) c\tau \leq 0 \quad . \end{aligned} \quad (43)$$

If additionally  $k_1 \approx 0$ , the criterion simplifies to

$$c\tau k_3 \left[ \left( 1 + \frac{BT\xi_{*T}}{A} \right) c\tau k_3 + \left( 2 + \frac{3BT\xi_{*T}}{A} + \frac{B^2 T^2 \xi_{*T}^2}{A^2} \right) \right] \leq 0 \quad (44)$$

which can be identified as a thermoreactive criterion for instability.

Fourth criterion (38) can also be identified as a second thermoreactive criterion for instability, as it can be verified considering the asymptotic case  $\mathcal{L}_\rho \approx \mathcal{L}_T \approx 0$ , but  $\mathcal{L}_\xi \neq 0$ .

## 4 Astrophysical Applications

### 4.1 Collisionally Ionized Hydrogen Plasma

This section will be devoted to applying the general results obtained in sections 2 and 3 to the hydrogen plasma model studied in a previous work (IP), i.e. to a collisionally ionized pure hydrogen plasma with a net rate function  $X(\rho, T, \xi)$  and a net cooling rate per unit mass  $\mathcal{L}(\rho, T, \xi)$ , respectively, given by

$$X(\rho, T, \xi) = N_0 \alpha_B(T) \rho \xi^2 - N_0 q(T) \rho \xi (1 - \xi) \quad , \quad (45)$$

$$\mathcal{L}(\rho, T, \xi) = N_0 R \rho \xi^2 T \beta_B(T) + N_0^2 \chi \rho \xi (1 - \xi) [q(T) + \Phi(T)] - \mathcal{L}_0 \quad , \quad (46)$$

where  $N_0$  is the Avogadro Number,  $\chi = 13.598 \text{ e.v}$ ,  $\mathcal{L}_0$  is a constant per unit mass heating and the coefficients  $\alpha_B(T)$ ,  $q(T)$ ,  $\beta_B(T)$  and  $\Phi(T)$  are given by Seaton (1959), Hummer and Seaton (1963) and Hummer (1963). The galactic value  $\mathcal{L}_0 = 3.25 \times 10^{-4} \text{ ergs g}^{-1} \text{ s}^{-1}$  ( Potasch, Wesselius and Duinen 1979 ) has been taken as a reference value. Additionally, the thermal conduction coefficient is taken in the form

$$\kappa(\rho, T, \xi) = 2.5 \times 10^3 (1 - \xi) T^{1/2} + 1.84 \times 10^{-5} \frac{\xi T^{5/2}}{\ln \Lambda(\rho, T, \xi)} , \quad (47)$$

where the first term on the right hand side is the heat conduction by neutral atoms ( Parker 1953) and the second one is the Spitzer ( 1962 ) thermal conduction by electrons, where

$$\begin{aligned} \ln \Lambda &= 23.24 + \ln \left[ \left( \frac{T}{10^4} \right)^3 \left( \frac{1}{N_0 \rho \xi} \right)^{1/2} \right], \quad \text{if } T < 4.2 \times 10^5 \text{ K}, \\ \ln \Lambda &= 29.71 + \ln \left[ \frac{T}{10^6 (N_0 \rho \xi)^{1/2}} \right], \quad \text{if } T > 4.2 \times 10^5 \text{ K}. \end{aligned} \quad (48)$$

The range of temperature under consideration is  $3 \times 10^3 < T < 8 \times 10^5 \text{ K}$  .

The equilibrium pressure as a function of particle number density has been plotted in Figure 1, on which the value of temperature of the marginal states have been also indicated. From solving the IP dispersion equation follows that regardless the value of  $k$  the hydrogen plasma is thermoreactively unstable in the range of temperature  $T_3$  ( $= 1.66 \times 10^4 \text{ K}$ )  $< T < T_4$  ( $= 4.30 \times 10^5 \text{ K}$ ), with a maximum growing rate of  $3.2 \times 10^{-9} \text{ yr}^{-1}$  at a temperature  $T = 3.2 \times 10^4 \text{ K}$  . Additionally, there is an oscillatory mode which, for wave numbers  $k \leq 2.1 \times 10^{-4}$ , is isobarically unstable in two temperature ranges , close to  $T \sim 9 \times 10^3 \text{ K}$  and to  $T \sim 5 \times 10^4 \text{ K}$  , see Fig 2a. The lower range of temperature increases when  $k$  decreases. In Fig. 1 such a range  $T_1$  ( $= 8.65 \times 10^3 \text{ K}$ )  $< T < T_2$  ( $= 1.26 \times 10^4 \text{ K}$ ) is shown for the asymptotic value  $k \rightarrow 0$ . For  $k \geq 1.7 \times 10^{-3}$  this oscillatory mode stabilizes. The corresponding maximum growing rate is very short. For instance, a disturbance with  $k = 10^{-4}$ , reaches a maximum growing rate  $Re\{n_{\max}\} = 7.8 \times 10^{-12} \text{ yr}^{-1}$  at  $T = 9.66 \times 10^3 \text{ K}$  at the lower temperature unstable range, and  $Re\{n_{\max}\} = 4.4 \times 10^{-11} \text{ yr}^{-1}$  at  $T = 3.77 \times 10^4 \text{ K}$ , for the parameter values used in Fig.1. Note that  $k$  is normalized to the value  $k_* = 1.89 \times 10^5 \mathcal{L}_0 / RT_1 c_*$ , where  $c_* = (\frac{5}{3}RT_1)^{1/2}$  and  $T_1 = 157890 \text{ K}$ . Therefore, for the galactic value taken

by IP as a reference value,  $\mathcal{L}_0 = 3.25 \times 10^{-4} \text{ erg g}^{-1} \text{ s}^{-1}$ , one gets  $k_* = 10^{-18} \text{ cm}^{-1}$ . For disturbances with larger wave numbers the oscillatory modes are damped.

Figure 2b is a plot of the resulting rates from solving the dispersion equation (20). Qualitatively, the above results obtained by IP hold. However, the maximum values of the growing rates increase by a factor 2 and the fastest real damped mode ( for  $T > 10^4 \text{ K}$ , in Fig 2a ) becomes an oscillatory damped mode( for  $T > 3 \times 10^4 \text{ K}$ , in Fig 2b ). The above results also hold for larger wave numbers, as it can be seen in Figs. 2c and 2d , where the rates obtained from IP dispersion equation and from the fifth order polynomial (20), respectively, have been plotted as functions of  $k$  for a temperature  $T = 3.5 \times 10^4 \text{ K}$ . The fourth order polynomial gives an oscillatory mode unstable for  $k < 2. \times 10^{-4}$  ( critical value ) and two real modes, the slowest one being unstable. The fifth order polynomial also gives the above modes but increased by a factor 2, and an additional very fast damped real mode. The physical reason for the damping of the oscillatory mode at large enough values of  $k$  rests in the second viscosity originated by the chemical reaction ( Landau & Lifshitz 1987 ).

The effect of thermal conduction is twofold: it increases the damping and quenches the instabilities for any fluctuation with wave number  $k$  greater than a critical value  $k_c$ .

## 4.2 Photoionized Hydrogen Plasma with Metallicity Z

In this section the results of section 3 will be applied to the photoionized hydrogen model studied by Corbelli and Ferrara ( CF ), i.e. an optically thin hydrogen plasma with metallicity  $Z$  heated and ionized by a background radiation field of mean photon energy  $E$  and ionization rate  $\zeta$ . The net rate function  $X(\rho, T, \xi)$  and the net cooling rate per unit mass  $\mathcal{L}(\rho, T, \xi)$  are respectively given by

$$X(\rho, T, \xi) = N_0 \rho [\xi^2 \alpha - (1 - \xi) \xi \gamma_c] - (1 - \xi) (1 + \phi) \zeta, \quad (49)$$

$$\mathcal{L}(\rho, T, \xi) = N_0^2 \rho [(1 - \xi) Z \Lambda_{HZ} + \xi Z \Lambda_{eZ} + (1 - \xi) \xi \Lambda_{eH} + \xi^2 \Lambda_{eH^+}] - N_0 (1 - \xi) \zeta [E_h + (1 + \phi) \chi]. \quad (50)$$

Except for the ionization rate indicated above by  $\zeta$ , the remaining notation is like the one used by CF, as well as the corresponding expressions for: the number of secondary electrons  $\phi$  and heat released per photoionization  $E_h$

( Shull & Van Steenberg 1985 ), the cooling efficiencies by collisions neutral hydrogen-ions and metal atoms  $\Lambda_{HZ}$  ( Launay & Roueff 1977, Dalgarno and McCray 1972 ), electrons-ions and metal atoms  $\Lambda_{eZ}$  ( Dalgarno and McCray 1972 ), Ly $\alpha$  emission by neutral hydrogen  $\Lambda_{eH}$  ( Spitzer 1978 ) and hydrogen recombination  $\Lambda_{eH^+}$ , on the spot approximation ( Seaton 1959 ).

By solving numerically the fifth order dispersion equation (20), one obtains the following results: for a radiation field with a photon energy  $E = 15 \text{ eV}$ , a plasma with  $Z = 1$  becomes stable in the whole range of temperature (  $T \leq 8.26 \times 10^2 \text{ K}$  ) where the thermochemical equilibrium may exist, contrary to the CF result where there is instability in the range  $33 < T < 703 \text{ K}$ . Figures 3a and 3b show the resulting rates, for a disturbance with  $k = 1$  ( in units of  $10^{-18} \text{ cm}^{-1}$  ), by solving the fourth [Eq. (2.7) of CF ] and fifth [Eq. (20) of Sec. 2 above ] order polynomials, respectively. In the first case, there is a damped mode ( the fastest one ) with two bifurcation points and an unstable oscillating mode in the above range of temperature. The dispersion equation (20) leaves an additional non oscillating damped mode with the highest rate, unfolds the damped oscillating mode ( of Fig 3a ) in two damped real modes, and stabilizes the oscillating unstable mode ( of Fig.3a ) on the whole range of temperature. The rates corresponding to the above modes also are shown in Figs. 3c and 3d, as functions of the wave number  $k$ , for a temperature of  $T = 60 \text{ K}$  ( temperature at which  $\xi_{*T}$  becomes a maximum ). The slowest oscillating mode becomes unstable for  $k < 14.5$  in Fig 3c; instead, it remains stable in Fig.3d with a rate being an increasing function of  $k$ . The maximum damping occurs for acoustic oscillations with  $k > 10^3$ . This effect is expected to occur as a consequence of the second viscosity due to the chemical reaction ( Landau and Lifshitz 1987 ). Additionally, the new damped non- oscillating mode shows dispersion at high values of  $k$  (  $> 3 \times 10^2$  ). Similar behavior is found at other values of temperature.

Fig 4a (fourth order polynomial ) and 4b (fifth order polynomial ) show the rates as function of temperature for disturbances with  $k = 1$ , in a plasma with  $Z = 1$ , and photon energy  $E = 10^2 \text{ eV}$ . With the exception of appearing the fifth non oscillating damped mode ( with a rate value between the corresponding rates values of the two modes given by the fourth order polynomial ), no qualitative changes appear. Only slight changes are observed in the values of temperature at which bifurcation occurs for both modes, the fastest stable and the slowest unstable one. In Figs 4c (fourth order polynomial ) and 4d (fifth order polynomial ) the corresponding rates are plotted as functions of the wave number  $k$ , for a temperature  $T = 200 \text{ K}$ . The rates of

the damped mode and the corresponding value of  $k$  where bifurcation occurs are shifted to high values while the unstable oscillating mode ( Fig 3c ) is stabilized for wave numbers  $k > 4.8$  ( Fig.4d ).

Figs. 5a, 5b are as Figs. 3a, 3b but for  $Z = 0.5$ ; and Figs. 5c, 5d are as Figs. 3c and 3d but for a temperature  $T = 500K$ . There, in the whole range of  $k$  under consideration the fourth order polynomial gives two oscillating modes, the fastest one being stable and the slowest one unstable for  $k < 4.8$  ( Fig. 5c ). Instead, the fifth order polynomial unfolds the above damped oscillating mode in two non oscillating modes and stabilizes the unstable ( slowest ) mode. Additionally, the new non- oscillating damped mode also shows dispersion at high wave values of  $k$  ( $> 10^2$ ), and the acoustic mode is also strongly damped by second viscosity for values of  $k > 2 \times 10^2$  ( Fig.5d ). Similar results hold for other values of the temperature.

For low metallicity plasmas ( say  $Z = 10^{-3}$  ), the fifth order polynomial gives three non oscillating and one oscillating mode, or two oscillating and one real mode. In particular, for  $E = 100eV$ , and in the range temperature where the plasma is unstable, i.e.  $72 < T < 4.34 \times 10^3K$ , the fourth order polynomial gives a stable and an unstable real mode ( the slowest one ), and a damped acoustic mode. Instead, the fifth order polynomial gives an unstable real mode ( also the slowest one ) and two damped acoustic modes. This unstable thermal mode is slightly faster than the corresponding one resulting from solving the fourth order polynomial. On the other hand, for  $Z = 0$ , the plasma also becomes thermochemically stable.

Specifically, in clouds located outside the optical disk of galaxies or in the galactic halo the probable values for the energy and metallicity, quoted out by CF are:  $E = 20 eV$ ,  $Z = 1$  and  $T \approx 800 K$ . For these values the corresponding rates have been plotted in Figures 6a-6b ( as functions of  $T$  ) and 6c-6d ( as functions of  $k$  ). The range of temperature for which oscillatory instability may set in according to the CF analysis is rather wide  $43 < T < 3.21 \times 10^3 K$ , ( Fig. 6a ), instead, the present analysis gives a considerable reduction of it, i.e. the plasma is unstable only in the range of temperature  $49 < T < 8.46 \times 10^2 K$ . Additionally, while the fourth order dispersion equation gives oscillatory instability for any value of the wave number and maximum growing rates  $\approx 10^{-5} yr^{-1}$ , for disturbances with  $k > 10^{-17} cm^{-1}$  ( Fig 6c ), from the dispersion relation (20) one obtains that there is instability only for fluctuation with  $k < k_c$  ( Fig. 6d ), where the critical value  $k_c$  is weakly dependent on temperature ( $10^{-18} < k_c < 9.6 \times 10^{-18} cm^{-1}$ ), in the above range of temperature where the plasma is unstable ). The maximum

growing rate is strongly dependent on  $T$ , and the upper value  $n_{\max} = 4.8 \times 10^{-7} \text{ yr}^{-1}$  is reached at temperature  $T \approx 500 \text{ K}$  for fluctuations with wave number  $k = 6.0 \times 10^{-18} \text{ cm}^{-1}$  (see Fig.6d , dashed line ).

From the above , one may conclude that the effect of taking into account the change of the ionization equilibrium position due to the variation of density and temperature, is to stabilize the oscillating modes, at least for high enough values of the wave number, as it should be expected from Landau's results on second viscosity. However, the quantitative stabilizing effect depends on the particular values of photon energy , metallicity and plasma temperature. For instance, for the values used in Figures 3c-3d and 5c-5d, such an effect is able to stabilize the unstable acoustic mode in the whole range of  $k$  under consideration. But, for those used in Fig4c-4d the effect is only capable of stabilizing acoustic oscillations with  $k > 4.8$ .

## 5 Summary and Conclusions

In summary, if one takes into account the effects of a finite chemical relaxation time in optically thin reacting plasmas a fifth order dispersion equation is obtained, instead of the fourth order polynomial obtained when such effects are neglected. The quantitative effects on the previous four modes depend on the particular reacting plasma under consideration, but generally, it tends to further stabilize the plasma and to increase the damping of stable modes because of the second viscosity generated by the chemical reaction.

In the collisionally ionized pure hydrogen plasma model previously studied by IP the resulting effects are not too strong. In addition to the appearance of the new damped real mode, only an increase in the value of both the damping and growing rates occur. However, in the photoionized plasma studied by CF, the effects may result more severe, depending on the exact value of the parameters under consideration. In particular, the range of temperature where the plasma is unstable can be strongly reduced, and the oscillatory instability can be quenched for  $k$  large enough. So for instance, for values likely occurring in the galactic halo, the present analysis leaves a very restrict values of  $k$  for developing instability. See the end of the previous Section.

## Acknowledgments

The author thanks the Referee Dr. A. Ferrara for his helpful criticisms. This work has been partially supported by the Consejo de Desarrollo Científico, Humanístico y Tecnológico (CDCHT) de la Universidad de los Andes through Project C-750-95-B05.

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## FIGURE CAPTIONS

Fig. 1. The equilibrium pressure as a function of particle number density for a collisionally ionized pure hydrogen plasma. The temperatures of marginal states are indicated by the labels  $T_1 \dots T_4$ , see text.

Fig. 2. The resulting rates from solving the fourth order polynomial of IP as functions of temperature for a perturbation with wave number  $k = 10^{-4}$  (a), and as functions of  $k$  for a temperature  $T = 3.5 \times 10^4 K$  (c). The resulting rates from the fifth order polynomial (20) as functions of  $T$  for  $k = 10^{-4}$  (b), and as functions of  $k$  for  $T = 3.5 \times 10^4 K$  (d). For a heating rate value of the order of the galactic heating rate,  $k$  is in units of  $10^{-18} cm^{-1}$ . Positive rates of complex modes are indicated by  $C^+$  and those corresponding to real roots by  $R^+$ .

Fig. 3. The rates resulting from solving the fourth order (a) an fifth order (b) polynomials ( see text ) as functions of temperature for,  $N_0\rho = 1 cm^{-3}$ , a photon energy  $E = 15 eV$ , metallicity  $Z = 1$ , and  $k = 1$ . The wave number  $k$  is in units of  $10^{-18} cm^{-1}$ . The values of the two negative intermediate real rates are indistinguishable at the scale of Fig. (b) . Figures (c) and (d) respectively correspond to the rates on Figures (a) and (b) but as functions of  $k$ , and for  $T = 60 K$ . As in Figure (b) the two damped intermediate real roots are also indistinguishable at the scale of Fig. (d). The range where the oscillating mode is unstable is indicated by  $C^+$ .

Fig. 4. Figures (a) and (b) are as Figures 3a and 3b, respectively, but for  $E = 100 eV$  . Figures (c) and (d) are as Figures 3c and 3d, respectively, but for  $E = 100 eV$  and  $T = 200 K$ . The range where the real mode is unstable is indicated by  $R^+$ .

Fig. 5. Figures (a) and (b) are as Figures 3a and 3b, respectively, but for  $Z = 0.5$  . Figures (c) and (d) are as Figures 3c and 3d, respectively, but for  $Z = 0.5$  and  $T = 500 K$ .

Fig. 6. Figures (a) and (b) are as Figures 3a and 3b, respectively, but for  $E = 20 eV$ ,  $Z = 1$  . Figures (c) and (d) are as Figures 3c and 3d, respectively, but for  $E = 20 eV$ ,  $Z = 1$  and  $T = 800 K$ . On Fig (d) the dashed curve corresponds to a temperature  $T = 500 K$ , value at which the maximum growing rate is reached.